



JAB/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2126

Filed: April 23, 1996

Examiner: Sue X. LAO

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF  
"STUB" INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE  
ADDRESS SPACE TO INVOKE PROCESSING OF A REMOTE METHOD  
OR PROCEDURE IN ANOTHER ADDRESS SPACE

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO-1449 with attached 15 references
3. Check -- \$180.00

Dated: September 17, 2003

Docket No.: 6502.0111-00

JAB/EHA/maw (965B - RES)



Due Date: N/A

DAI  
BAS  
9/18/03

REF AVAILABLE OCT



PATENT  
Customer No. 22,852  
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann M. WOLLRATH et al.

Application No.: 08/636,706

Filed: April 23, 1996

For: System And Method For  
Facilitating Dynamic Loading Of  
"Stub" Information To Enable a  
Program Operating In One Address  
Space To Invoke Processing Of A  
Remote Method Or Procedure In  
Another Address Space

Group Art Unit: 2126

Examiner: Sue X. LAO

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified under § 1.17(p).

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

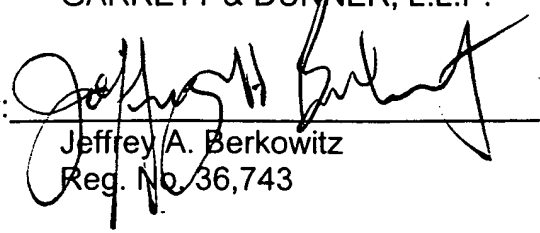
If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

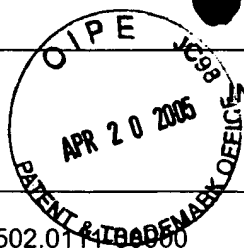
Dated: September 12, 2003

By:

  
Jeffrey A. Berkowitz  
Reg. No. 36,743

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**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

Atty. Docket No.: 06502.0114-0000	Application No.: 636,706/08
Applicant: Ann M. Wollrath et al.	
Filing Date: April 23, 1996	Group: 2126

**U.S. PATENT DOCUMENTS**

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
		US 5,303,042	04/12/1994	Lewis et al.			
		US 5,506,984	04/09/1996	Miller			
		US 5,754,977	05/19/1998	Gardner et al.			
		US 5,761,507	06/02/1998	Govett			
		US 5,764,915	06/09/1998	Heimsoth et al.			
		US 6,034,925	03/07/2000	Wehmeyer			
		US 6,055,562	04/25/2000	Devarakonda et al.			
		US 6,058,381	05/02/2000	Nelson			
		US 6,078,655	06/20/2000	Fahrer et al.			
		US 6,192,044 B1	02/20/2001	Mack			
		US 6,199,068 B1	03/06/2001	Carpenter			
		US 6,219,675 B1	04/17/2001	Pal et al.			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	"Java (TM) Object Serialization Specification," Sun Microsystems, Inc., XP-002242372, <www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf>, November 30, 1998 (76 pages).
	Chan, P. et al., <u>The Java Class Libraries, 2<sup>nd</sup> Edition</u> , Vol. 1, "Java.io ObjectInputStream," XP-002243027, pp. 1230-1232, 1262-1264, and 1283, March 9, 1998 (7 pages).
	Opyrchal et al., "Efficient Object Serialization in Java," Department of Electrical Engineering and Computer Science, University of Michigan, XP-002242373, May 31, 1999 (6 pages).

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



IFW/B

PATENT  
Customer No. 22,852  
Attorney Docket No. 06502.0111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Ann M. Wollrath et al.	)	Group Art Unit: 2126
	)	
Serial No.: 08/636,706	)	Examiner: Sue X. Lao
	)	
Filing Date: April 23, 1996	)	Confirmation No.: 3311
CPA Filed: December 20, 2001	)	
	)	
For: SYSTEM AND METHOD FOR	)	
FACILITATING DYNAMIC LOAD-ING	)	
OF "STUB" INFORMATION TO	)	
ENABLE A PROGRAM OPERATING	)	
IN ONE ADDRESS SPACE TO	)	Mail Stop Issue Fee
INVOKE PROCESS-ING OF A	)	
REMOTE METHOD OR PROCEDURE	)	
IN ANOTHER ADDRESS SPACE	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA  
22313-1450

Sir:

**Request for Initialed Copy of Form PTO-1449**

A Notice of Allowance for the above-identified Application was mailed March 3, 2005. Applicants filed a supplementary Information Disclosure Statement (IDS) and PTO-1449 form in the above-identified application on September 17, 2003. In the response filed October 14, 2004, Applicants requested an initialed copy of the PTO-1449 filed September 17, 2003. However, Applicants have not received an initialed copy of this form.

Accordingly, Applicants request that the Examiner return an initialed copy of the PTO-1449 form filed September 17, 2003. For the Examiner's convenience, Applicants

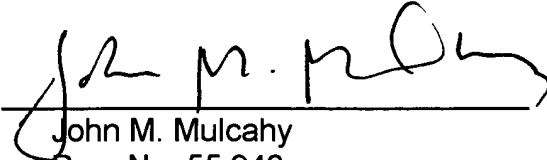
have enclosed duplicate copies of the IDS and PTO-1449 form filed September 17, 2003, and the return postcard indicating receipt of these papers by the Office.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 20, 2005

By:   
John M. Mulcahy  
Reg. No. 55,940

**Attachments:** Copy of the IDS and PTO-1449 Form filed September 17, 2003;  
copy of the return postcard showing receipt of the IDS filed  
September 17, 2003 by the Office.